impact on the species or stock(s), will not have an unmitigable adverse impact on the availability of the species or stock(s) for subsistence uses (where relevant), and if the permissible methods of taking and requirements pertaining to the mitigation, monitoring and reporting of such takings are set forth to achieve the least practicable adverse impact.
NMFS has defined "negligible impact" in 50 CFR 216.103 as:
"...an impact resulting from the specified activity that cannot be reasonably expected to, and is not reasonably likely to, adversely affect the species or stock through effects on annual rates of recruitment or survival."

With respect to military readiness activities, the MMPA defines
"harassment" as:
"(i) any act that injures or has the significant potential to injure a marine mammal or marine mammal stock in the wild (Level A harassment); or (ii) any act that disturbs or is likely to disturb a marine mammal or marine mammal stock in the wild by causing disruption of natural behavioral patterns, including, but not limited to, migration, surfacing, nursing, breeding, feeding, or behavioral patterns are abandoned or significantly altered (Level B harassment)."

## Summary of Request

On November 6, 2009, NMFS received an application from the U.S. Air Force requesting an authorization for the take of marine mammals incidental to NEODS training operations. The requested regulations would establish a framework for authorizing incidental take future Letters of Authorization (LOA). These LOAs, if approved, would authorize the take, by Level B (behavioral) harassment, of Atlantic bottlenose dolphins (Tursiops truncatus) incidental to NEODS training operations and testing at Eglin Gulf Test and Training Range at property off Santa Rosa Island, FL, in the northern Gulf of Mexico (GOM). Based on the application, pre-mitigation take would average approximately 10 animals per year; approximately 50 animals over the five year period. NMFS issued an Incidental Harassment Authorization (IHA) for the same activity in 2005 (70 FR 51341; August 30, 2005), 2006 (70

FR 60693; October 16, 2006), 2007 (72 FR 58290; October 15, 2007), and 2008 (73 FR 56800, September 30, 2008). The past missions have been delayed due to safety issues concerning bringing demolition charges under a bridge and no missions have occurred to date under any of the IHAs. NEODS missions would involve underwater detonations of small, live explosive charges adjacent to inert mines. The NEODS training activities are classified as military readiness activities. The U.S. Air Force states that noise associated with underwater detonation of the specified explosive charges may expose bottlenose dolphins in the area to noise and pressure resulting in non-injurious temporary threshold shift (TTS) (temporary hearing loss).

## Specified Activities

The NEODS may conduct up to eight two-day demolition training events annually; these missions may occur at any time of the year, although the U.S. Air Force anticipates that 60 percent of the specified activities will occur during summer months while 40 percent will occur during winter months. Each demolition training event involves a maximum of five detonations. Up to 20 five-pound (lb) charges (five lbs net explosive weight [NEW] per charge) and 20 ten-lb charges (ten lbs NEW per charge) would be detonated annually in the GOM, approximately three nautical miles (5.6 kilometers) offshore of Eglin AFB. Detonations would be conducted on the sea floor, adjacent to an inert mine, at a depth of approximately 60 feet (18.3 meters). Additional information on the NEODS training operations is contained in the application, which is available upon request (see ADDRESSES).

## Information Solicited

Interested persons may submit information, suggestions, and comments related to the U.S. Air Force's request (see ADDRESSES). All information, suggestions, and comments related to the U.S. Air Force's NEODS training operations request and NMFS' potential development and implementation of regulations governing the incidental taking of marine mammals by Eglin AFB's NEODS training operations will
be considered by NMFS in developing, if appropriate, the most effective regulations governing the issuance of Letters of Authorization.
Dated: January 11, 2010.
James H. Lecky,
Director, Office of Protected Resources, National Marine Fisheries Service.
[FR Doc. 2010-722 Filed 1-14-10; 8:45 am]
biLLING CODE 3510-22-S

## DEPARTMENT OF COMMERCE

## Economic Development Administration

## Trade Adjustment Assistance for Firms Program Fiscal Year 2009 Annual Report

AGENCY: Economic Development
Administration, Commerce.
Action: Notice.
SUMMARY: The Secretary of Commerce is directed by Section 1866 of the Trade and Globalization Adjustment
Assistance Act of 2009 (TGAAA), which became effective May 17, 2009, to submit to Congress a report on the Trade Adjustment Assistance for Firms
(TAAF) program by the 15th of December each year. The TAAF Program is one of four Trade Adjustment Assistance (TAA) programs authorized by the Trade Act of 1974 (Trade Act). The mission of the TAAF Program is to provide technical and financial assistance to U.S. firms affected by import competition. The program provides assistance in the development of business recovery plans, which are known as Adjustment Proposals under Section 252 of the Trade Act, and matching funds to implement projects outlined in the Adjustment Proposals. The TAAF Program supports a national network of 11 Trade Adjustment Assistance Centers (TAACs) to help U.S. firms apply for assistance and prepare and implement strategies to guide their economic recovery.
Overall, there has been an increase in the demand for the TAAF Program in fiscal year 2009, as demonstrated by the increase in the number of petitions for certification and Adjustment Proposals submitted to EDA for approval.

| Fiscal year | Petitions received | Petitions accepted for filing | Petitions certified | Petitions denied | Avg. days between submission and acceptance | Avg. days between acceptance and certification |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2009 | 281 | 247 | 212 | 1 | 28 | 45 |
| 2008 ........ | 188 | 1190 | 183 | 0 | 11 | 45 |

${ }^{1}$ Two of the petitions accepted for filing in FY 2008 were received by EDA in FY 2007.

Because of the spike in petitions and Adjustment Proposals, the Economic Development Administration (EDA) experienced challenges in meeting the 40-day processing deadline for petitions accepted for filing immediately after the new legislation was enacted. Beginning in the fourth quarter of FY 2009, the average processing time for petitions has
started to decline below the 40-day requirement. Additional TAAF staff resources are expected to help improve the processing time even further for FY 2010.

TAACs effectively reached small and medium-sized firms in FY 2009. The average employment, net sales, and productivity of firms certified in FY

2009 declined in comparison to the previous fiscal year. Sixty-five percent of all firms proposed to implement a marketing/sales project or production/ engineering project in their Adjustment Proposals, and 35 percent of all firms proposed support systems or management/financial projects.

| FY | Avg. employment at certification | Avg. annual net sales at certification | Avg. productivity at certification (net sales per employee) |
| :---: | :---: | :---: | :---: |
| 2009 | 77 | \$10,715,785 | \$128,729 |
| 2008 | 82 | \$13,081,993 | \$149,565 |
| \% Change ........................................................................................................... | (6\%) | (18\%) | (14\%) |

The following table illustrates that in Adjustment Proposals as compared to FY 2009 EDA approved an additional 33 FY 2008 and proposed to spend an
additional total of $\$ 2.4$ million in government funds.

Approved TAAF Adjustment Proposals

|  | FY 2003 | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 | FY 2009 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Number of Plans Approved | 162 | 177 | 132 | 137 | 126 | 139 | 172 |
| Total Government Share (millions) ................................ | \$8.1 | \$8.5 | \$5.9 | \$6.7 | \$7.1 | \$7.9 | \$10.3 |
| Total Firm Share (millions) | \$7.4 | \$8.1 | \$5.4 | \$6.0 | \$5.9 | \$7.5 | \$9.8 |
| Total Projected Costs (millions) ..................................... | \$15.5 | \$16.6 | \$11.3 | \$12.7 | \$13.0 | \$15.4 | \$20.2 |
| Avg. Government Assistance Per Firm | \$50,000 | \$48,023 | \$44,697 | \$48,905 | \$56,449 | \$56,827 | \$60,123 |

The TGAAA identifies 14 measures that should be covered by this report. EDA currently is unable to provide any information on four measures: (1) The number of firms that inquired about the program, (2) the number of petitions certified by congressional district, (3) the number of firms leaving the program and why, and (4) sales, employment, and productivity at each firm upon completion of the program and every year for the two years thereafter. EDA is taking steps to collect and report on all of the missing measures for the FY 2010 Annual Report.

ADDRESSES: Trade Adjustment Assistance for Firms Division, Room 7106, Economic Development Administration, U.S. Department of Commerce, Washington, DC 20230.

## FOR FURTHER INFORMATION CONTACT:

Bryan Borlik, Director of the TAAF
Program, 202-482-3901.

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## Introduction

This report is provided in compliance with Section 1866 of the Trade and Globalization Adjustment Assistance Act of 2009 (Sec. 1866, Pub. L. 111-5, 123 Stat. 115, at 367) (TGAAA). This section directs the Secretary of Commerce to provide an annual report
on the Trade Adjustment Assistance for Firms (TAAF) program by the 15th of December each year. Section 1866 of the TGAAA states:
IN GENERAL.-Not later than December 15, 2009, and each year thereafter, the Secretary of Commerce shall prepare a report containing data regarding the trade adjustment assistance for firms program provided for in chapter 3 of title II of the Trade Act of 1974 (19 U.S.C. 2341 et seq.) for the preceding fiscal year.

This report will provide findings and results to the extent that the data is available on the following 14 measures:

1. The number of firms that inquired about the program.
2. The number of petitions filed under section 251.
3. The number of petitions certified and denied.
4. The average time for processing petitions.
5. The number of petitions filed and firms certified for each congressional district of the United States.
6. The number of firms that received assistance in preparing their petitions.
7. The number of firms that received assistance developing business recovery plans (Adjustment Proposals).
8. The number of Adjustment

Proposals approved and denied by the Secretary of Commerce.
9. Sales, employment, and productivity at each firm participating in the program at the time of certification.
10. Sales, employment, and productivity at each firm upon completion of the program and each year for the two-year period following completion.
11. The financial assistance received by each firm participating in the program.
12. The financial contribution made by each firm participating in the program.
13. The types of technical assistance included in the Adjustment Proposals of firms participating in the program.
14. The number of firms leaving the program before completing the project or projects in their Adjustment Proposals and the reason the project was not completed.
The TAAF program is one of four Trade Adjustment Assistance (TAA) programs authorized under the Trade Act of 1974 (19 U.S.C. 2341 et seq) (Trade Act). The responsibility for administering the TAA for Firms program is delegated by the Secretary of Commerce to the Economic Development Administration (EDA). EDA, through a national network of 11 Trade Adjustment Assistance Centers (TAAC), provides technical assistance on a cost-shared basis to U.S.
manufacturing, production, and service firms in all fifty states, the District of Columbia, and the Commonwealth of Puerto Rico.

The other TAA programs are TAA for Workers, Farmers, and Communities, which are administered by the Departments of Labor, Agriculture, and Commerce through EDA, respectively.

## Exhibit 1: TAA Programs



The TAAF Program is relatively small. Between FY 2000 and FY 2009, its appropriations have ranged from $\$ 10.5$ million to $\$ 15.8$ million.

## Program Initiative

The mission of the program is to provide technical and financial assistance to U.S. firms affected by import competition. The program provides assistance in the development of business recovery plans, which are known as Adjustment Proposals under Section 252 of the Trade Act, and
matching funds to implement projects outlined in Adjustment Proposals.

The program's premise is that some U.S. firms, in particular small businesses, lack the internal capabilities or resources necessary to effectively respond to new import competition. The Trade Adjustment Assistance Centers’ goal is to help U.S. firms increase profitability and retain employees while competing successfully in the global economy.

## Program Description

The TAAF Program supports a national network of 11 Trade Adjustment Assistance Centers (TAAC) to help U.S. firms apply for assistance and prepare and implement strategies to guide their economic recovery. Information about the TAACs may be found at www.taacenters.org. The current TAACs and the states they serve are listed in the table below. Please note that currently Puerto Rico has not been assigned to any particular TAAC. Firms
in Puerto Rico receive assistance from the TAAC that received the inquiry.

## Exhibit 2-TaACs and Their Respective Service Areas

| TAAC | States served |
| :---: | :---: |
| Great Lakes | Indiana, Michigan, and Ohio. |
| Mid-America ................................... | Arkansas, Kansas, and Missouri. |
| Mid-Atlantic ................................ | Delaware, District of Columbia, Maryland, New Jersey, Pennsylvania, Virginia, and West Virginia. |
| Midwest .................................... | Illinois, Iowa, Minnesota, and Wisconsin. |
| New England ................................... | Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont. |
| New York State ................................ | New York. |
| Northwestern ................................... | Alaska, Idaho, Montana, Oregon, and Washington. |
| Rocky Mountain ................................ | Colorado, Nebraska, New Mexico, North Dakota, South Dakota, Utah, and Wyoming. |
| Southeastern ................................... | Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee. |
| Southwest ........................................ | Louisiana, Oklahoma, and Texas. |
| Western .......................................... | Arizona, California, Hawaii, and Nevada. |

The TAACs' main responsibilities are:

- Assisting firms in preparing their petitions for TAAF. Firms are not charged for any assistance related to preparing a petition.
- Once a petition has been approved, TAACs work closely with company management to identify the firm's strengths and weaknesses and develop a customized Adjustment Proposal designed to stimulate recovery and growth. The program pays up to $75 \%$ of
the cost of developing an Adjustment Proposal and the firm must pay the rest. EDA must approve all Adjustment Proposals to ensure they conform to statutory and regulatory requirements.
- After an Adjustment Proposal has been approved, company management and TAAC staff jointly identify consultants with the specific expertise required to assist the firm. The program pays up to $\$ 75,000$ in matching funds for the cost of these consultants when
implementing the Adjustment Proposal. After a competitive procurement process, the TAAC and the firm generally contract with private consultants to implement the adjustment plan.

There are three main phases to receiving technical assistance under the program. The phases are (1) Petitioning for certification, (2) recovery planning, and (3) project implementation.

## Exhibit 3: TAA for Firms Program Phases



Eligibility to Apply for Trade Adjustment Assistance" and any supporting documentation. Although a firm may complete a petition and submit it to EDA on its own, certification specialists within the TAACs generally work with the firm at no cost to complete and submit a petition to EDA. Once a petition has
been accepted, EDA is required to make a final determination on a petition within 40 days. ${ }^{2}$

Certified firms may then submit an Adjustment Proposal for EDA's
${ }^{2}$ As of May 17, 2009, the deadline for making a final determination is 40 days. Before May 17, 2009 EDA had 60 days to make a determination.
approval. It generally takes EDA between two weeks to one month to make a final determination on an Adjustment Proposal, depending on the workflow.
The firm works with consultants to implement projects in an approved Adjustment Proposal. As projects are implemented and if the firm is satisfied
with the work, the firm will first pay their match to the consultant and then send a notice to the TAAC stating that they are satisfied with the work and that they have paid their matching share. The TAAC will then pay the federal matching share. Firms have up to five years from the date of an Adjustment Proposal's approval to implement it, unless they receive approval for an extension. Generally, firms complete the implementation of their Adjustment Proposals over a two-year period.

## Data for This Report

Most of the data used in this report were collected from the petitions for certification and the Adjustment Proposals submitted by the TAACs on behalf of firms. Data from these sources were recorded into a central database by Eligibility Reviewers at EDA. Results for average processing times and the number of approved and denied petitions and Adjustment Proposal were derived by EDA.
All of the data available for Fiscal Years (FY) 2009 and 2008 were used for this report. One weakness to the data sets used is that a few records were incomplete. EDA has identified data
collection deficiencies and plans to train EDA and TAAC staff in order to eliminate, to the extent possible, problems that result in incomplete records.
The performance measures in this report were evaluated by looking at quarterly trends and comparing results for FY 2009 and FY 2008. In addition, characteristics of the petitioning and certified firms were aggregated and reported as averages to provide a general profile for these firms.

## Results/Findings

(1) The number of firms that inquired about the program.

Because of the decentralized nature of the TAAF Program, EDA currently does not collect reliable information on the number of firms that inquire about the TAA program. EDA is working with the TAACs to collect this data and will include this measure in the revised quarterly report submitted by the TAACs to EDA. EDA is expecting to start collecting this data by the end of December 2009.
(2) The number of petitions filed under section 251.
(3) The number of petitions certified and denied.
(4) The average time for processing petitions.
In FY 2009, there was a 49 percent increase in the number of petitions received by EDA, a 16 percent increase in the number of certified firms, and on average the total petition processing time increased by 17 calendar days, which period is defined as the period between actual submission of a petition by the TAAC and final determination, that is certification or rejection, by EDA.
After accepting a petition for filing, EDA has 40 calendar days to make a final determination. In order to avoid having to reject many of the petitions, EDA does not consider a petition accepted until all the necessary information is collected. When considering the duration between the time of submission and when a final determination is made, the processing time for petitions increased by 17 days in FY 2009 as compared to FY 2008. For the average petition, in both FY 2008 and FY 2009 it took 45 days to make a final determination after it had been accepted for filing under section 251 of the Trade Act.

## EXhibit 4—Petitions for Certification FYs 2009 and 2008 Summary Comparison

| FY | Number of petitions received | Number of petitions accepted for filing | Number of petitions certified | Number of petitions denied | Average days between submission and acceptance for filing | Average days between acceptance and certification |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2009 | 281 | 247 | 212 | 1 | 28 | 45 |
| 2008 | 188 | ${ }^{3} 190$ | 183 | 0 | 11 | 45 |
| \% Change ........................................... | 49 | 30 | 16 | N/A | 155 | N/A |

${ }^{3}$ Two of the petitions accepted for filing in FY 2008 were received by EDA in FY 2007.
Exhibit 5-Petitions for Certification by State and TAAC

| FY 2009 Petitions for Certification |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| TAAC | State | Number of petitions received | Number of petitions accepted for filing | Number of petitions certified | Number of petitions denied | Average days between submission and acceptance | Average days between acceptance and certification |
| Great Lakes .................. | IN $\ldots$.................MIOH.................Total ...... | $\begin{array}{r} 7 \\ 13 \\ 8 \end{array}$ | $\begin{array}{r} 7 \\ 11 \\ 7 \end{array}$ | 7 10 5 | 0 0 0 | $\qquad$ | ........................... |
|  |  | 28 | 25 | 22 | 0 | 25 | 43 |
| Mid-America ................... | AR ................ KS .............. MO .......... | $\begin{array}{r} 2 \\ 3 \\ 13 \end{array}$ | 2 2 10 | 2 2 8 | 0 0 0 | $\qquad$ | -......................... |
|  | Total ...... | 18 | 14 | 12 | 0 | 37 | 49 |
|  |  | 0 0 0 1 22 | 0 0 0 1 18 | 0 0 0 42 16 | 0 0 0 0 0 |  | 41 …................... …................ ….............. |

Exhibit 5-Petitions for Certification by State and TAAC—Continued

| FY 2009 Petitions for Certification |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| TAAC | State | Number of petitions received | Number of petitions accepted for filing | Number of petitions certified | Number of petitions denied | Average days between submission and acceptance | Average days between acceptance and certification |
| Mid-Atlantic. | $\begin{aligned} & \text { VA } \\ & \text { WV } \end{aligned}$ | 1 0 | 1 | 0 | 0 | $\qquad$$\qquad$ | $\qquad$$\qquad$ |
|  |  |  |  |  |  |  |  |
| Midwest .......................... | Total ...... IA ................ IL .............. MN ............... WI ........... | 24 2 28 8 10 | 20 2 27 7 9 | 18 1 23 6 6 | 0 0 0 0 0 |  | $\qquad$ $\qquad$ $\qquad$ $\qquad$ $\qquad$ <br> 47 |
|  | Total | 48 | 45 | 36 | 0 |  |  |
| New England ................. | CT $\qquad$ <br> MA $\qquad$ <br> ME $\qquad$ <br> NH $\qquad$ <br> RI $\qquad$ <br> VT $\qquad$ <br> Total $\qquad$ | 10 28 2 8 8 0 | 9 25 1 8 8 0 | 9 24 1 6 7 0 | 0 0 0 0 0 0 |  | $\qquad$ $\qquad$ $\qquad$ $\qquad$ $\qquad$ $\qquad$ <br> 35 |
|  |  | 56 | 51 | 47 | 0 |  |  |
| New York State ............ | NY Total ....... | 16 | 13 | 11 | 0 | 28 | 46 |
| Northwest ....................... | AK $\qquad$ <br> ID $\qquad$ <br> MT $\qquad$ <br> OR $\qquad$ <br> WA $\qquad$ <br> Total $\qquad$ | 1 0 2 5 6 | 0 0 1 6 5 | 0 0 0 5 5 | 0 0 0 0 0 | $\qquad$ $\qquad$ $\qquad$ $\qquad$ <br> 33 | $\qquad$ |
|  |  | 14 | 12 | 10 | 0 |  |  |
| Rocky Mountain ............ | CO $\qquad$ <br> ND $\qquad$ <br> NE $\qquad$ <br> NM $\qquad$ <br> SD $\qquad$ <br> UT $\qquad$ <br> WY $\qquad$ <br> Total $\qquad$ | 12 1 0 2 0 4 0 | 11 1 0 2 0 3 0 | 11 0 0 2 0 2 0 | 0 0 0 0 0 0 0 |  | $\qquad$$\qquad$$\qquad$$\qquad$$\qquad$$\qquad$$\qquad$$49$ |
|  |  | 19 | 17 | 15 | 0 |  |  |
| Southeastern ................. | AL ...................FLGA....................$~$KYMS....................$~$NCSCT...................TN ..............Total ...... | 0 2 4 0 0 13 0 0 | 0 2 4 0 1 11 0 0 | 0 2 3 0 0 10 0 0 | 0 0 0 0 0 0 0 0 |  | 44$\qquad$$\qquad$$\qquad$$\qquad$$\qquad$$\qquad$ |
|  |  | 19 | 18 | 15 | 0 |  |  |
| Southwest ................... | $\begin{aligned} & \text { LA ................... } \\ & \text { OK ........... } \\ & \text { TX ............ } \\ & \text { Total ...... } \end{aligned}$ | 2 12 9 | 1 11 8 | 1 9 7 | 0 1 0 |  |  |
|  |  | 23 | 20 | 17 | 1 |  |  |
| Western ........................ | AZ .................CA ...............HI ..............NVTotal ....... | 1 15 0 0 | 1 11 0 0 | 0 9 0 0 | 0 0 0 0 | $\qquad$$\qquad$$\qquad$$44$ | $\qquad$$\qquad$$\qquad$$37$ |
|  |  | 16 | 12 | 9 | 0 |  |  |

${ }^{4}$ One of the petitions certified from FY 2009 was received by EDA in FY 2008.
(5) The number of petitions filed and firms certified for each congressional district of the United States.

EDA did not collect the number of petitions filed and certified by congressional district in FY 2009. EDA has revised Form ED-840P and is currently undergoing the required Paperwork Reduction Act (PRA) analysis. EDA has incorporated this measure into the revised Form ED-

840P, which is currently being submitted to the Office of Management and Budget (OMB) for PRA clearance. In the interim, TAACs have been instructed to identify applicants’ congressional districts in supporting documentation submitted with the petition.
(6) The number of firms that received assistance in preparing their petitions.

Although EDA has not previously recorded whether a petitioning firm
received assistance in preparing their petition, EDA understood that all firms who submitted petitions through TAACs received assistance from the respective TAAC. EDA has revised Form ED-840P to more accurately record whether firms receive assistance and from whom. Exhibit 6 shows the number of petitions submitted by each TAAC.

Exhibit 6: Number of Petitions Submitted in FYs 2009 and 2008 by Each TAAC

(7) Sales, employment, and productivity at each firm participating in the program at the time of certification.
For those firms certified in FY 2009, average employment was by six percent below that for firms certified in FY
2008. Average net sales were 18 percent below, and average productivity was 14 percent below. For the purposes of this report, productivity is defined as net sales per employee. Since the certified firms are in various industries, which
have a variety of ways to measure productivity, sales per employee was chosen as the productivity measure. This measure is used because it is simple and can be generally applied to all certified firms.

## Exhibit 7-Summary Comparison of Average Employment, Net Sales, and Productivity for Firms Certified in FYS 2009 AND 2008


## Exhibit 8—Average Employment, Net Sales, and Productivity for Firms Certified in FY 2009 Classified by State and TAAC

| TAAC |  | Monthly <br> average <br> employment | Averag annual <br> net sales |
| :--- | ---: | ---: | ---: | ---: |


| TAAC | State | Monthly average employment | Averag annual net sales | Average productivity (net sales per employee) |
| :---: | :---: | :---: | :---: | :---: |
| Mid-America .......................................................................... | KS $\qquad$ <br> MO $\qquad$ <br> Average $\qquad$ | $\begin{aligned} & 114 \\ & 159 \end{aligned}$ | $\begin{aligned} & 7,847,500 \\ & 5,786,387 \end{aligned}$ | $\begin{aligned} & 69,224 \\ & 94,504 \end{aligned}$ |
|  |  | 129 | 5,575,841 | 92,253 |
| Mid-Atlantic ............................................................................ | DC $\qquad$ <br> DE $\qquad$ <br> MD $\qquad$ <br> NJ $\qquad$ <br> PA $\qquad$ <br> VA $\qquad$ <br> WV $\qquad$ | $\begin{array}{r} 0 \\ 0 \\ 0 \\ 53 \\ 77 \\ 0 \\ 0 \end{array}$ | $\begin{array}{r} 0 \\ 0 \\ 0 \\ 6,195,713 \\ 9,535,754 \\ 0 \\ 0 \end{array}$ | $\begin{array}{r} 0 \\ 0 \\ 0 \\ 115,674 \\ 125,789 \\ 0 \\ 0 \end{array}$ |
|  | Average ......... | 74 | 9,164,638 | 124,665 |
| Midwest ................................................................................ | IA $\qquad$ <br> IL <br> MN $\qquad$ <br> WI $\qquad$ | $\begin{array}{r} 29 \\ 66 \\ 85 \\ 249 \end{array}$ | $\begin{array}{r} 1,365,689 \\ 11,027,769 \\ 9,328,702 \\ 33,110,952 \end{array}$ | $\begin{array}{r} 47,093 \\ 153,625 \\ 121,189 \\ 158,130 \end{array}$ |
|  | Average ......... | 99 | 14,156,731 | 146,011 |
| New England ......................................................................... | CT <br> MA <br> ME <br> NH <br> RI <br> VT | $\begin{array}{r} 54 \\ 39 \\ 8 \\ 47 \\ 79 \\ 0 \end{array}$ | $\begin{array}{r} 8,008,737 \\ 6,070,712 \\ 405,912 \\ 5,468,664 \\ 6,903,936 \\ 0 \end{array}$ | $\begin{array}{r} 116,324 \\ 146,199 \\ 49,501 \\ 121,973 \\ 164,784 \\ 0 \end{array}$ |
|  | Average ......... | 48 | 6,368,535 | 138,096 |
| New York State ...................................................................... | Average ......... | 73 | 9,339,480 | 108,707 |
| Northwestern ......................................................................... | AK $\qquad$ <br> ID $\qquad$ <br> MT $\qquad$ <br> OR $\qquad$ <br> WA $\qquad$ | 0 0 0 189 11 | $\begin{array}{r} 0 \\ 0 \\ 0 \\ 3,229,683 \\ 1,500,700 \end{array}$ | $\begin{array}{r} 0 \\ 0 \\ 0 \\ 61,458 \\ 103,599 \end{array}$ |
|  | Average ......... | 100 | 2,365,191 | 82,529 |
| Rocky Mountain ....................................................................... | CO $\qquad$ <br> ND $\qquad$ <br> NE $\qquad$ <br> NM $\qquad$ <br> SD $\qquad$ <br> UT $\qquad$ <br> WY $\qquad$ | 97 0 0 74 0 80 0 | $\begin{array}{r} 34,035,214 \\ 0 \\ 0 \\ 4,408,313 \\ 0 \\ 11,181,050 \\ 0 \end{array}$ | $\begin{array}{r} 140,439 \\ 0 \\ 0 \\ 64,871 \\ 0 \\ 150,881 \\ 0 \end{array}$ |
|  |  | 92 | 27,037,738 | 131,755 |
| Southeastern ..................................................................... |  | $\begin{array}{r} 0 \\ 78 \\ 34 \\ 0 \\ 0 \\ 111 \\ 0 \\ 0 \end{array}$ | $\begin{array}{r} 0 \\ 7,084,047 \\ 3,183,356 \\ 0 \\ 0 \\ 24,225,837 \\ 0 \\ 0 \end{array}$ | $\begin{array}{r} 0 \\ 138,109 \\ 107,743 \\ 0 \\ 0 \\ 155,842 \\ 0 \\ 0 \end{array}$ |
|  |  | 91 | 17,731,769 | 143,858 |
| Southwest ............................................................................. |  | $\begin{aligned} & 45 \\ & 51 \\ & 46 \\ & 48 \end{aligned}$ | $\begin{aligned} & 3,121,252 \\ & 3,689,045 \\ & 5,504,869 \\ & 4,403,338 \end{aligned}$ | $\begin{array}{r} 69,361 \\ 67,355 \\ 110,700 \\ 85,321 \end{array}$ |
|  | AZ ....................... | 0 | 0 | 0 |

## Exhibit 8-Average Employment, Net Sales, and Productivity for Firms Certified in Fy 2009 Classified by State and TAAC-Continued

| TAAC | State | Monthly average employment | Averag annual net sales | Average productivity (net sales per employee) |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 51 0 0 | $\begin{array}{r} 7,904,808 \\ 0 \\ 0 \end{array}$ | $143,021$ |
| Western |  | 51 | 7,921,301 | 143,139 |

## Exhibit 95—Average Monthly Employment, Annual Net Sales, and Productivity at Each Firm Certified for the taif Program in FY 2009

|  | Project No. | Average monthly employment | Annual net sales | Productivity |
| :---: | :---: | :---: | :---: | :---: |
| -2141167170 |  | 11 | \$1,196,902 | \$108,809 |
| -2121444292 | ............................. | 67 | 4,006,469 | 59,798 |
| -2042247253 |  | 122 | 15,791,636 | 129,355 |
| -2013118865 |  | 115 | 3,298,000 | 28,741 |
| -1988436588 |  | 42 | 4,101,937 | 97,665 |
| -1950117994 |  | 19 | 1,983,347 | 104,387 |
| -1928548648 | ....... | 29 | 3,379,076 | 116,520 |
| -1902999773 | ......... | 84 | 10,028,851 | 119,391 |
| -1735872532 | ..... | 86 | 8,007,271 | 93,108 |
| -1706525908 | ....... | 24 | 3,247,216 | 138,179 |
| - 1643182588 |  | 335 | 53,848,974 | 160,743 |
| -1634468345 |  | 5 | 442,494 | 88,499 |
| -1546967690 |  | 93 | 14,127,000 | 151,773 |
| -1506878533 |  | 203 | 38,116,000 | 187,764 |
| - 1414666091 |  | 48 | 8,416,445 | 175,343 |
| - 1399657793 |  | 21 | 3,327,060 | 158,431 |
| -1370436615 | . | 52 | 6,348,965 | 122,095 |
| -1204293136 |  | 113 | 1,312,194 | 11,633 |
| -1178629643 |  | 51 | 3,523,858 | 68,691 |
| -1144864381 |  | 113 | 21,591,273 | 191,073 |
| -1119666282 | ............................. | 27 | 2,393,550 | 89,312 |
| -1097381894 |  | 4 | 366,266 | 91,567 |
| -1028400370 |  | 4 | 712,071 | 178,018 |
| -976697335 | - | 45 | 3,575,314 | 79,451 |
| -976135562 | ......................... | 15 | 1,693,508 | 109,968 |
| -889718167 | ........................... | 53 | 10,400,385 | 195,606 |
| -887612628 |  | 2 | 23,036 | 14,133 |
| -879675653 | ....... | 158 | 16,095,224 | 101,656 |
| -854603118 |  | 23 | 665,537 | 29,579 |
| -764521341 |  | 27 | 4,282,608 | 161,608 |
| -739225309 |  | 78 | 6,027,470 | 77,774 |
| -721946507 |  | 8 | 405,912 | 49,501 |
| -707088102 |  | 23 | 5,357,515 | 233,748 |
| -701972844 |  | 95 | 12,076,738 | 127,567 |
| -641759960 |  | 24 | 3,274,000 | 136,417 |
| -632530935 |  | 10 | 112,451 | 11,245 |
| -631287923 |  | 35 | 1,924,226 | 54,978 |
| -627002970 |  | 21 | 2,442,947 | 119,168 |
| -616871455 |  | 15 | 3,975,576 | 265,038 |
| -594868995 |  | 85 | 8,341,277 | 98,133 |
| -592625918 |  | 58 | 6,641,978 | 114,517 |
| -554756768 |  | 93 | 32,349,000 | 347,540 |
| -534793263 |  | 17 | 2,346,672 | 136,434 |
| -510304974 |  | 218 | 23,152,444 | 106,409 |
| -502336347 |  | 75 | 14,316,003 | 190,880 |
| -477438887 |  | 31 | 4,527,483 | 146,048 |
| -476833060 |  | 178 | 15,320,292 | 86,069 |
| -441231945 |  | 174 | 16,688,000 | 95,770 |
| -428234294 |  | 69 | 9,989,294 | 145,405 |
| -404256669 |  | 80 | 7,044,108 | 87,777 |
| -363836427 |  | 37 | 2,853,566 | 77,543 |
| -360147020 |  | 61 | 807,976 | 13,246 |
| -283996920 |  | 78 | 9,189,018 | 118,308 |
| -48958339 |  | 122 | 43,293,680 | 354,866 |
| 23230469 | ............. | 284 | 59,905,827 | 210,625 |

## Exhibit 95—Average Monthly Employment, Annual Net Sales, and Productivity at Each Firm Certified for the TAAF Program in FY 2009-Continued

|  | Project No. | Average monthly employment | Annual net sales | Productivity |
| :---: | :---: | :---: | :---: | :---: |
| 65254696 |  | 20 | 2,463,879 | 124,753 |
| 114629866 |  | 20 | 2,074,822 | 104,789 |
| 137101191 |  | 15 | 318,347 | 21,223 |
| 176434616 | .................. | 101 | 12,903,834 | 128,141 |
| 246147845 | .................... | 26 | 1,935,948 | 74,460 |
| 280418639 |  | 9 | 3,207,749 | 341,250 |
| 526891792 |  | 39 | 3,514,280 | 90,110 |
| 540241037 |  | 87 | 9,939,297 | 114,905 |
| 587994808 |  | 98 | 17,905,792 | 182,712 |
| 631689182 |  | 35 | 2,995,661 | 85,590 |
| 639991136 |  | 17 | 2,949,494 | 173,500 |
| 674278170 |  | 13 | 836,017 | 65,570 |
| 675284787 |  | 11 | 2,494,392 | 220,743 |
| 675586291 |  | 223 | 19,226,471 | 86,217 |
| 712619105 |  | 6 | 405,088 | 67,515 |
| 717100183 |  | 7 | 717,780 | 106,338 |
| 726417873 |  | 38 | 6,404,000 | 167,425 |
| 744959677 |  | 344 | 42,310,370 | 122,995 |
| 775553880 |  | 17 | 1,020,236 | 60,014 |
| 819813906 |  | 33 | 8,930,078 | 274,772 |
| 838593384 |  | 58 | 950,292 | 16,384 |
| 915263089 |  | 4 | 346,908 | 86,727 |
| 945015730 |  | 739 | 111,833 | 151 |
| 962067466 |  | 24 | 3,227,083 | 135,024 |
| 989234254 |  | 6 | 358,000 | 61,407 |
| 1082975273 |  | 22 | 1,650,000 | 76,142 |
| 1211737402 |  | 99 | 10,494,800 | 106,115 |
| 1218148370 |  | 50 | 4,085,428 | 81,709 |
| 1220532373 | ............. | 174 | 25,421,539 | 146,101 |
| 221594278 |  | 26 | 2,622,892 | 102,457 |
| 1221842461 |  | 28 | 3,202,408 | 113,039 |
| 1221849510 |  | 19 | 1,514,723 | 81,524 |
| 1222114933 |  | 91 | 408,844 | 4,493 |
| 1222703402 |  | 36 | 2,153,350 | 59,272 |
| 1222797758 |  | 20 | 1,985,109 | 99,255 |
| 1222976955 |  | 33 | 5,407,901 | 163,876 |
| 1224271418 |  | 49 | 7,677,627 | 156,686 |
| 1224872688 |  | 103 | 13,265,206 | 128,788 |
| 1225120776 |  | 28 | 4,903,000 | 175,107 |
| 1225133741 |  | 137 | 13,773,487 | 100,720 |
| 1225201275 |  | 326 | 50,549,619 | 155,060 |
| 1225287691 |  | 292 | 48,371,484 | 165,656 |
| 1225810350 |  | 12 | 749,609 | 62,467 |
| 1227042607 |  | 3 | 195,253 | 65,084 |
| 1227289294 |  | 113 | 12,397,000 | 109,708 |
| 1227543460 |  | 888 | 103,961 | 117 |
| 1227630320 |  | 53 | 6,328,130 | 119,399 |
| 1227877017 |  | 8 | 2,468,000 | 300,976 |
| 1228925679 |  | 1 | 172,826 | 216,033 |
| 1229617894 |  | 58 | 4,103,785 | 70,270 |
| 1229708794 |  | 47 | 6,561,310 | 139,602 |
| 1230052412 |  | 19 | 2,156,922 | 115,343 |
| 1230750559 |  | 28 | 3,825,907 | 136,640 |
| 1231186429 | ............................................................ | 26 | 2,951,829 | 113,532 |
| 1231426311 | .............................................................. | 19 | 2,475,523 | 130,291 |
| 1232040671 | ........................................................... | 51 | 2,773,358 | 54,486 |
| 1232739420 | .................. | 71 | 26,183,448 | 367,745 |
| 1232999637 |  | 4 | 261,470 | 65,368 |
| 1233087150 |  | 167 | 8,650,171 | 51,797 |
| 1233153258 | ....................................................... | 89 | 25,373,011 | 285,090 |
| 1233239620 | ............................................................. | 11 | 660,126 | 57,906 |
| 1233327674 | ... | 60 | 8,141,100 | 135,685 |
| 1233338572 |  | 46 | 5,741,356 | 124,812 |
| 1233673084 | ..................... | 78 | 13,219,682 | 169,483 |
| 1233691704 |  | 147 | 7,407,619 | 50,392 |
| 1233760561 |  | 88 | 22,565,731 | 257,306 |
| 1233842492 |  | 20 | 2,408,353 | 120,418 |
| 1234275977 |  | 69 | 10,463,729 | 151,648 |
| 1234966745 |  | 16 | 3,997,722 | 249,858 |
| 1234980125 | ...... | 6 | 558,835 | 101,606 |

## Exhibit $9{ }^{5}$ —Average Monthly Employment, Annual Net Sales, and Productivity at Each Firm Certified for the TAAF Program in FY 2009—Continued

|  | Project No. | Average monthly employment | Annual net sales | Productivity |
| :---: | :---: | :---: | :---: | :---: |
| 1235057791 |  | 20 | 4,409,285 | 220,464 |
| 1235755384 | ............. | 133 | 49,248,961 | 370,293 |
| 1235770548 | ........... | 97 | 23,087,874 | 238,019 |
| 1236954447 | ........... | 67 | 4,297,798 | 63,984 |
| 1237222818 |  | 162 | 34,093,287 | 210,452 |
| 1237298215 |  | 61 | 5,678,660 | 93,862 |
| 1237306159 |  | 22 | 1,874,369 | 85,199 |
| 1237408034 |  | 86 | 8,978,684 | 104,805 |
| 1237488333 |  | 79 | 16,573,810 | 211,131 |
| 1237904074 |  | 18 | 4,579,750 | 253,305 |
| 1237916053 |  | 119 | 9,797,071 | 82,676 |
| 1238084904 |  | 14 | 629,641 | 45,527 |
| 1238173195 |  | 115 | 8,662,992 | 75,330 |
| 1238177474 |  | 87 | 13,279,415 | 152,637 |
| 1238431176 |  | 16 | 1,520,278 | 93,844 |
| 1238505614 |  | 29 | 1,365,689 | 47,093 |
| 1238510711 |  | 16 | 1,147,318 | 71,707 |
| 1238520242 |  | 38 | 3,749,000 | 98,658 |
| 1238765788 |  | 21 | 1,493,937 | 69,810 |
| 1238772555 |  | 76 | 11,606,000 | 152,110 |
| 1239379144 |  | 45 | 3,121,252 | 69,361 |
| 1239897775 |  | 10 | 745,536 | 74,554 |
| 1239916845 |  | 17 | 12,408,106 | 717,232 |
| 1240316759 |  | 106 | 16,656,248 | 157,134 |
| 1240405972 |  | 96 | 12,408,106 | 129,251 |
| 1240492021 |  | 153 | 13,382,187 | 87,752 |
| 1240519189 | ................... | 149 | 20,677,489 | 138,682 |
| 1242740530 |  | 4 | 196,390 | 45,672 |
| 1242766013 |  | 392 | 24,305,183 | 61,956 |
| 1242847325 |  | 69 | 14,182,980 | 205,550 |
| 1242997549 |  | 89 | 6,572,979 | 73,688 |
| 1243013350 |  | 52 | 16,549,376 | 318,257 |
| 1243436999 |  | 644 | 328,918,000 | 511,139 |
| 1243524425 |  | 8 | 1,389,381 | 173,673 |
| 1243613130 |  | 2 | 232,398 | 116,199 |
| 1243957086 |  | 32 | 3,546,513 | 112,588 |
| 1243968951 |  | 23 | 1,664,125 | 71,422 |
| 1243971069 |  | 57 | 4,043,125 | 70,808 |
| 1244043572 |  | 60 | 4,750,412 | 79,174 |
| 1244055343 |  | 99 | 4,731,197 | 48,032 |
| 1244058559 |  | 4 | 785,907 | 188,467 |
| 1244127442 |  | 71 | 15,427,668 | 216,529 |
| 1244130026 |  | 69 | 9,072,053 | 131,670 |
| 1244133405 |  | 79 | 8,686,480 | 110,095 |
| 1244141043 |  | 17 | 982,499 | 57,794 |
| 1245437191 | $\ldots$ | 18 | 1,736,066 | 96,448 |
| 1246279087 |  | 126 | 18,495,699 | 146,443 |
| 1246304644 |  | 474 | 147,130,573 | 310,402 |
| 1246459021 |  | 11 | 747,668 | 67,970 |
| 1246886248 |  | 21 | 3,131,095 | 151,261 |
| 1246977066 |  | 43 | 5,447,176 | 126,679 |
| 1246981790 |  | 38 | 5,652,842 | 148,759 |
| 1246994607 |  | 241 | 11,004,128 | 45,660 |
| 1247145245 |  | 8 | 442,710 | 55,339 |
| 1247147517 |  | 4 | 1,041,903 | 260,476 |
| 1247150638 |  | 10 | 1,684,610 | 163,079 |
| 1247161869 |  | 9 | 204,767 | 21,947 |
| 1247238696 |  | 13 | 1,965,636 | 151,203 |
| 1247754433 |  | 97 | 18,745,787 | 194,257 |
| 1247831618 |  | 50 | 8,934,942 | 178,699 |
| 1247835180 |  | 29 | 2,441,616 | 83,332 |
| 1247836448 |  | 72 | 10,851,151 | 150,710 |
| 1248180971 |  | 5 | 412,418 | 82,484 |
| 1248977837 |  | 137 | 21,887,413 | 159,762 |
| 1249499924 |  | 24 | 3,648,378 | 152,016 |
| 1249569202 |  | 12 | 936,104 | 78,009 |
| 1249916490 |  | 22 | 3,079,082 | 138,697 |
| 1250184197 |  | 138 | 30,238,000 | 219,116 |
| 1250265178 |  | 933 | 99,626,339 | 106,781 |
| 1327553155 |  | 10 | 1,402,910 | 140,291 |

## Exhibit $9{ }^{5}$ —Average Monthly Employment, Annual Net Sales, and Productivity at Each Firm Certified for the TAAF Program in FY 2009-Continued

|  | Project No. | Average monthly employment | Annual net sales | Productivity |
| :---: | :---: | :---: | :---: | :---: |
| 1447786180 | .... | 11 | 190,335 | 17,303 |
| 1531863717 |  | 114 | 14,611,240 | 128,169 |
| 1583584994 |  | 8 | 364,976 | 45,622 |
| 1715521604 |  | 9 | 966,076 | 108,304 |
| 1741163169 |  | 66 | 9,039,000 | 137,832 |
| 1745023300 |  | 52 | 8,970,960 | 172,518 |
| 1874078704 |  | 7 | 454,718 | 67,767 |
| 1884248409 |  | 8 | 391,392 | 48,924 |
| 1892823557 |  | 107 | 13,779,974 | 128,785 |
| 1962799420 |  | 9 | 235,598 | 27,080 |
| 1968260507 |  | 32 | 4,751,162 | 150,021 |
| 1978491171 |  | 36 | 9,163,974 | 254,555 |
| 2019516425 |  | 10 | 1,669,942 | 169,882 |
| 2035965487 |  | 10 | 341,614 | 34,161 |
| 2053807288 |  | 66 | 3,595,710 | 54,480 |
| 2060034620 |  | 2 | 151,618 | 69,967 |
| 2092576996 | . | 35 | 4,072,919 | 118,056 |

${ }^{5}$ As reported by the petitioning firm for the most recent year of the firm's petition period (can be between 6 and 12 months).
(8) The number of firms that received assistance developing Adjustment Proposals.
Although EDA has not previously recorded whether a certified firm
received assistance in preparing their Adjustment Proposals, EDA understood that all firms who submitted Adjustment Proposals through TAACs received assistance from the respective

TAAC. EDA requested that TAACs include such information in the Adjustment Proposals. Exhibit 10 shows the number of plans submitted by each TAAC.

## Exhibit 10: No. of Adjustment Proposals submitted in FYs 2009 and 2008 by TAAC


(9) The number of Adjustment Proposals approved and denied by the Secretary of Commerce.

In FY 2009, EDA did not deny any Adjustment Proposals and approved 172 plans.

Exhibit 11-Characteristics of the Adjustment Proposals Approved in FYs 2003-2009

|  | FY 2003 | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 | FY 2009 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Number of Business Recovery Plans Approved ................ | 162 | 177 | 132 | 137 | 126 | 139 | 172 |
| Avg. Firm Sales (millions) ............................................... | \$7.2 | \$11.6 | \$8.4 | \$10.6 | \$11.2 | \$15.0 | \$16.4 |
| Avg. Firm Employees .................................................... | 68 | 88 | 64 | 91 | 68 | 81 | 80 |

## Exhibit 12: Quarterly Trend of Adjustment Proposals Approved and Denied in FYs

## 2008 and 2009.


$\rightarrow-$ No. Adjustment Proposals Approved - - No. Adjustment Proposals Denied
(10) The financial assistance received by each firm participating in the program.
(11) The financial contribution made by each firm participating in the program. Although the TAACs maintain
records on actual government and firm expenditures for implementation of Adjustment Proposals, EDA currently does not collect or record this information in a central database. In FY 2010, EDA will include this measure in
the revised quarterly reports submitted by the TAACs to EDA. Exhibit 13 shows the average government and firm cost share proposed by each firm at the time their Adjustment Proposals were approved.

Exhibit 13—Projected Costs To Implement Approved Adjustment Proposals

|  | FY 2003 | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 | FY 2009 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Total Government Share (millions) | \$8.1 | \$8.5 | \$5.9 | \$6.7 | \$7.1 | \$7.9 | \$10.3 |
| Total Firm Share (millions) | 7.4 | 8.1 | 5.4 | 6.0 | 5.9 | 7.5 | 9.8 |
| Total Projected Costs (millions) | 15.5 | 16.6 | 11.3 | 12.7 | 13.0 | 15.4 | 20.2 |
| Avg. Government Assistance Per Firm 6 | 50,000 | 48,023 | 44,697 | 48,905 | 56,449 | 56,827 | 60,123 |

${ }^{6}$ Government share of project implementation costs as proposed in the Adjustment Proposals divided by the number of approved plans.
(12) The types of technical assistance included in the Adjustment Proposals of firms participating in the program. Firms proposed various types of projects in Adjustment Proposals. More than half of all firms proposed to implement marketing/sales or
production/engineering projects. Marketing and sales projects are geared toward increasing revenue, whereas production and engineering projects tend to be geared toward cutting costs. Support system projects can provide a competitive advantage by either cutting
costs or creating new sales channels.
Management and financial projects are designed to improve management's decision making ability and business control. Sample projects are listed below in Exhibit 15.

## Exhibit 14—The Frequency of Specific Projects Proposed in Adjustment Proposals [Presented by TAAC]

| TAAC | Number of firms that included the following projects in their business recovery plans |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Marketing/ sales | Support systems | Management/ financial | Production/ engineering |
| Great Lakes | 15 | 15 | 11 | 15 |
| MidAmerica | 7 | 6 | 4 | 5 |
| Mid-Atlantic | 15 | 5 | 5 | 9 |
| Midwest | 26 | 16 | 0 | 23 |
| New England | 33 | 20 | 27 | 31 |
| Northwest ..... | 7 | 1 | 1 | 5 |
| New York State | 10 | 6 | 2 | 9 |
| Rocky Mountain | 16 | 6 | 4 | 14 |
| Southeastern ..... | 13 | 7 | 6 | 7 |
| Southwest | 13 | 10 | 1 | 11 |

Exhibit 14—The Frequency of Specific Projects Proposed in Adjustment Proposals—Continued
[Presented by TaAc]


Exhibit 15—Sample Adjustment Proposals Projects Proposed in FY 2009

| Marketing/sales | Support systems | Management/financial | Production/engineering |
| :---: | :---: | :---: | :---: |
| - sales planning/development <br> - strategic market planning/marketing strategy <br> - sales and marketing staff training/coaching/mentoring <br> - market, technology, merchandising, consumer research and analysis/export feasibility study <br> - business development/market expansion/customer diversification <br> - brand recognition/rebranding <br> - new product design and development, production line evaluation <br> - web site update/unitronix/Design Online Web site improvements <br> - kiosk ordering system/e-commerce <br> - trade show design/model kit package/travel exhibit design <br> - visual imaging for marketing/advertising tools <br> - Industry certification promotion campaign <br> - sales pricing and sales channel <br> - lead generation <br> - after-market service plan <br> - install new computer network <br> - automate kin controllers | - MRP/ERP selection and installation <br> - IT systems upgrades <br> - Software training <br> - strategic information technology plan <br> - MIS/IT evaluation and recommendation <br> - Design software <br> - MIS reporting systems and server <br> - CRM and PM software <br> - product identification software <br> - CAD software upgrade <br> - Vantage shop floor management system <br> - Tele-conferencing capabilities <br> - implement QuickBooks MIS modules and financial reports <br> - customer communication software upgrade <br> - CRM system <br> - production and inventory control modules/software <br> - MIS system integration quality controls | - succession planning, strategic business plan, financial planning, investment planning, supply chain management strategy, pricing strategy <br> - JV and management project <br> - cost accounting/pricing system/ cost studies/quoting/cost estimating <br> - cost tracking/control improvement <br> - financial restructuring <br> - human resources training, employee training <br> - management-leadership development, managerial capacity building, management training and coaching <br> - interim leadership <br> - company fair market valuation <br> - government procurement assistance | - Quality assurance/efficiency systems <br> - production evaluation, integration, analysis, and efficiency <br> - 5S, lean manufacturing, Siemens, MRP, phase-gate system <br> - Industry certifications <br> - Employee training <br> - supply chain management program/improvements <br> - bar coding <br> - PLCM improvement <br> - Green manufacturing and certification <br> - site evaluation <br> - Job Boss Shop scheduling system implementation <br> - Value stream map for the manufacturing process <br> - patent requirements <br> - materials test program <br> - enhance testing and analytical capabilities <br> - program <br> - facility expansion and design <br> - prototype research, design, and testing <br> - develop capabilities for new production line/business <br> - production tooling design <br> - vendor stocking program <br> - FSC chain of custody plan <br> - calibrate equipment, equipment installation and start-up, facility/ equipment design <br> - warehouse automation |

(13) The number of firms leaving the program before completing the project or projects in their Adjustment Proposals and the reason the project was not completed.

EDA currently does not collect or record this information. In FY 2010, EDA will include this measure in the revised quarterly TAAC report.
(14) Sales, employment, and productivity at each firm upon completion of the program and each year for the two-year period following completion.

Most, if not all, TAACs record the sales, employment and productivity of firms after completing the TAAF Program. However, EDA currently does not collect or record this information for the 2 -year period following completion. In FY 2010, EDA will include this measure in the revised quarterly TAAC report.

## Discussion and Analysis

## FY 2009 Performance

In FY 2009 as compared to FY 2008, the number of petitions submitted to

EDA increased by 49 percent. Due to current economic conditions and the expansion of eligibility to service sector firms, increases in the number of petitions are expected to continue in FY 2010. As the TAACs continue to strengthen their marketing channels to more effectively reach service firms, it is expected that the number of petitions will increase. If petitions continue to increase at the same rate, EDA can expect approximately 400 petitions in FY 2010.

## Exhibit 16: Quarterly Trend of Petitions Submitted and Accepted for Filing in FYs

2008-2009


Fiscal Year/Quarter

$$
\longrightarrow \text { No. of Petitions Received }- \text { No. of Petitions Accepted for Filing }
$$

## Exhibit 17: Quarterly Trend of Petitions Certified and Denied for FYs 2008 and



Fiscal Year/Quarter

$$
- \text { No. of Petitions Certified }- \text { No. Petitions Denied }
$$

The average total processing time of petitions increased by approximately 17 days, a 30 percent overall increase. A spike in the number of petitions submitted and a recent decline in the number of eligibility reviewers have made it challenging for EDA to meet the 40-day review deadline to provide a final determination on petitions. As of May 17, 2009, EDA is required to make
a final determination within 40 calendar days of a petition being accepted for filing under Section 251 of the Trade Act. Before May 17, 2009 EDA had to make a determination within 60 calendar days. The average processing time has improved as of the fourth quarter of FY 2009. The addition of two new EDA Program Analysts (expected start dates between December 2009 and

February 2010) and a new TAAF Program Director (start date August 30, 2009) is expected to improve EDA's processing time for petitions for the remainder of FY 2010. For the average petition, Exhibit 18 shows that, EDA missed the 40 day deadline in the third quarter of FY 2009, but was able to meet the deadline in the fourth quarter of FY 2009.

# Exhibit 18: Average Duration (in Calendar Days) for Processing Petitions for 

## Certification



EDA must approve all petitions for certification and Adjustment Proposals for firms to receive financial assistance. Although EDA has not previously recorded whether a petitioning or certified firm received assistance in preparing their petition or Adjustment Proposals, EDA understood that all firms who submitted petitions and Adjustment Proposals through TAACs received assistance from the respective TAAC. EDA has revised Form ED-840P to more accurately record whether and from whom firms receive assistance. Also, EDA requested that TAACs include such information in the Adjustment Proposals.

EDA has assumed that eligible firms either do not have the capacity to
submit petitions and Adjustment Proposals without assistance, or that doing so would cause unnecessary burden to small and medium-sized firms. EDA therefore understands that all firms receive assistance.

As compared to FY 2008, average net sales of certified firms declined by 20 percent and average employment declined by six percent. The National Bureau of Economic Research (NBER) determined that a recession began in December 2007. It is likely that the recession has contributed to the decline in sales and employment of certified firms in FY 2009. Firm productivity, defined as net sales per employee, in certified firms has declined as well.

The TAAF program strongly targets small and medium-sized businesses in the provision of assistance. In order to classify small and medium-sized firms EDA used the Small Business Administration's (SBA's) size standards. Medium-sized firms are classified as those with less than 500 employees for most manufacturing and mining industries, or less than $\$ 7$ million in average annual receipts for most nonmanufacturing industries. Ninety eight percent of the firms certified in FY 2009 had fewer than 500 employees, and 58 percent had less than $\$ 7$ million in annual net sales. This indicates that the TAAF program is mostly reaching small and medium-sized businesses.

## Exhibit 19: Employment Size of Firms Certified in FY 2009.



Exhibit 20: Net Sales of Firms Certified in FY 2009


EDA experienced a 15 percent increase in the number of Adjustment Proposals it received for approval in FY 2009. In comparison, there was a 49 percent increase in the number of petitions in the same year. It is expected
that the number of Adjustment
Proposals submitted will be fewer than the number of petitions, since Adjustment Proposals often take more time to create and require firms to contribute funds for their development
and implementation. Firms with limited working capital and limited access to credit will tend to develop and implement the Adjustment Proposals more gradually.

## Exhibit 21: Quarterly Trend of Adjustment Proposals Submitted in FYs 2008 and

2009. 



The number of approved Adjustment Proposals and the proposed financial assistance to be received from EDA and contributed by each firm increased in FY 2009. EDA approved an additional 28 Adjustment Proposals as compared to FY 2008 and proposed to spend an additional total of $\$ 2.4$ million in
government funds. The TAAF Program received an increase of approximately \$1.7 million in Omnibus appropriations for FY 2009.

Approximately 65 percent of firms included marketing/sales projects or production/engineering projects in their Adjustment Proposals. Approximately

35 percent of firms included support system projects or management/ financial projects in their Adjustment Proposals. This mix of project types proposed in the Adjustment Proposals indicates that firms are focusing on both revenue growth and cost reduction in order to improve their profit margin.

## Exhibit 22: Types and Frequency of Adjustment Proposal Projects Proposed by

## Certified Firms

## Types of Projects Proposed by Firms



## Looking Forward: Data Collection

The TGAAA identifies 14 measures to be included in each year's TAAF Annual Report. Of the 14 measures, EDA currently does not collect data on four. These measures are: (1) The number of firms that inquired about the program, (2) the number of petitions certified by congressional district, (3) the number of firms leaving the program
and why, and (4) sales, employment, and productivity at each firm upon completion of the program and every year for the two years thereafter. There are another four measures that EDA does not collect data on directly, but has access to relevant information: These measures are: (1) The number of firms that received assistance in preparing their petition, (2) the number of firms
that received assistance in preparing their Adjustment Proposal, (3) the actual government outlays for each firm implementing their Adjustment Proposal, and (4) the actual firm outlays for implementing the Adjustment Proposal. Most of these measures are recorded by the TAACs, but EDA has not required TAACs to report on them to EDA.

## Exhibit 23-FY 2010 Data Collection Plan for the 14 Measurements Required for the Annual Report to

 Congress| Measurement | Collected by EDA? | Recordkeeping system | Procedure/policy changes required |
| :---: | :---: | :---: | :---: |
| 1. Number of Firm Inquiries | No .......................... | New Management Information system (MIS). | TAACs should have a written record of their response to firm inquiries and submit a copy of this notice along with the firm's DUNS number to EDA in the TAAC's quarterly report. A new information system will need to be designed to record the information collected from the TAAC quarterly reports. |
| 2. Number of Petitions ...... |  | MIS | None. |
| 3. Number of Petitions Certified and Denied. | Yes ......................... | MIS | None. |
| 4. Average Petition Processing Time. | Yes ......................... | Derived from MIS ...................... | None. |
| 5. Number of Petitions and Certifications by Congressional District. | No ........................... | MIS | Form ED-840P will be revised so that a firm's congressional district will be recorded. The MIS has been modified to include this information. |
| 6. Number of Firms that Received Assistance in Preparing their Petitions. | To some extent ......... | MIS ........................................ | Currently, EDA receives all petitions directly from the TAACs. Form ED-840P will be revised so that firms can indicate whether they received assistance. The MIS will be modified to include this information. |
| 7. Number of Firms that Received Assistance in Developing Their Adjustment Proposal. | To some extent ........ | MIS | Currently, EDA receives all Adjustment Proposals directly from the TAACs. TAACs have been advised to indicate the type of assistance received by the firms in the adjustment proposals submitted to EDA. The MIS will be modified to include this information. |
| 8. Number of Adjustment Proposals Approved and Denied. | Yes ........................ | MIS ...................................... | None |
| 9. Sales, Employment, and Productivity at Time of Certification. | Yes ......................... | MIS ........................................ | For the large number of firms in varying industries being measured, few employ or track the same productivity measures. EDA chose to use the simplest and most universal metric for productivity: sales per employee. |
| 10. Sales, Employment, and productivity at Each Firm Upon Completion of the Program and Each Year for the Two-Year Period Thereafter. | No ........................... | New MIS | EDA will request this information from TAACs in their quarterly reports. Also, EDA may continue to track firms through the Dun and Bradstreet database to collect sales and employment figures. |
| 11. Financial Assistance Received by Each Firm Participating in the Program. | To some extent ........ | New MIS .................................. | EDA records the proposed government expenditures on each project, but does not request information on actual outlays for each firm. EDA will request this information from TAACs in their quarterly reports. |
| 12. Financial Contribution Made by Each Firm Participating in the Program. | To some extent ........ | New MIS .................................. | EDA records firms' proposed expenditures on each project, but does not request information on actual outlays for each firm. EDA will request this information from TAACs in their quarterly reports. |
| 13. Types of Technical Assistance Included in the Adjustment Proposals of Firms. | Yes ......................... | MIS | This information is now recorded by EDA. Previously this information was submitted to EDA, but not recorded in any MIS. |
| 14. Number of Firms Leaving the Program Before Completing the Project(s) in their AP and the Reason. | No ........................... | New MIS | TAACs will be advised to include this measure in their quarterly activity reports. |
| Classification of Data by TAAC, State, and National Totals. | Yes ......................... | MIS .. | None. |

EDA is considering several steps to address the collection of the remaining measures.
Following is a list of the steps EDA will take to address the current data collection gaps.
(1) TAACs were instructed to upgrade their Adobe software to facilitate data collection. TAACs that only have Adobe Reader can use the Adobe fillable forms, but they cannot save the information on their computers. Upgrading the Adobe software will allow the remaining TAACs to save electronic records of the forms, and will allow EDA to automatically upload information into its management information system and no longer require EDA to re-type all of the information into the system.
(2) EDA will issue several new procedures and guidelines to simplify data collection through a revised template for the quarterly TAAC activity reports.
(3) As resources become available, the management information system (MIS) will be expanded to facilitate reporting.
(4) EDA is in the process of seeking OMB clearance for a revised Form ED840P to collect all required data.

## Conclusion

Overall, there has been an increase in the demand for the TAAF Program in FY 2009, as demonstrated by the increase in the number of petitions for certification and Adjustment Proposals submitted to EDA.
Due to the spike in petitions and Adjustment Proposals, EDA experienced challenges in meeting the new 40-day processing deadline for petitions accepted for filing immediately after the new rule's implementation. However, since the fourth quarter of FY 2009, the average processing time for petitions declined below the 40-day requirement. New TAAF program staff members are expected to help improve processing time even further for FY 2010.

TAACs effectively targeted small and medium-sized firms in FY 2009. The average employment, net sales, and productivity of firms certified in FY 2009 declined in comparison to the previous fiscal year. More than half of all firms proposed to implement a marketing/sales project or production/ engineering project in their Adjustment Proposals.
Of the 14 measures required for reporting, EDA was unable to provide any information on four measures, and provided limited information on another four measures. EDA is taking steps to collect and report on all of the missing measures for the FY 2010 Annual Report.

Dated: January 7, 2010.
Bryan Borlik,
Director, Trade Adjustment Assistance for Firms Program.
[FR Doc. 2010-561 Filed 1-14-10; 8:45 am] BILLING CODE 3510-24-P

## COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

## Procurement List: Proposed Additions

agency: Committee for Purchase From
People Who Are Blind or Severely Disabled.
ACTION: Proposed Additions to Procurement List.

SUMMARY: The Committee is proposing to add to the Procurement List services to be provided by nonprofit agencies employing persons who are blind or have other severe disabilities.

Comments Must Be Received On or Before: February 15, 2010.
addresses: Committee for Purchase From People Who Are Blind or Severely Disabled, Jefferson Plaza 2, Suite 10800, 1421 Jefferson Davis Highway, Arlington, Virginia 22202-3259.
FOR FURTHER INFORMATION OR TO SUBMIT COMMENTS CONTACT: Barry S. Lineback, Telephone: (703) 603-7740, Fax: (703) 603-0655, or e-mail
CMTEFedReg@AbilityOne.gov.
SUPPLEMENTARY INFORMATION: This
notice is published pursuant to 41 U.S.C 47(a) (2) and 41 CFR 51-2.3. Its purpose is to provide interested persons an opportunity to submit comments on the proposed actions.

Additions: If the Committee approves the proposed additions, the entities of the Federal Government identified in this notice will be required to provide the services listed below from nonprofit agencies employing persons who are blind or have other severe disabilities.

## Regulatory Flexibility Act Certification

I certify that the following action will not have a significant impact on a substantial number of small entities. The major factors considered for this certification were:

1. If approved, the action will not result in any additional reporting, recordkeeping or other compliance requirements for small entities other than the small organizations that will provide the services to the Government.
2. If approved, the action will result in authorizing small entities to provide the services to the Government.
3. There are no known regulatory alternatives which would accomplish
the objectives of the Javits-WagnerO'Day Act (41 U.S.C. 46-48c) in connection with the services proposed for addition to the Procurement List.

Comments on this certification are invited. Commenters should identify the statement(s) underlying the certification on which they are providing additional information.

## End of Certification

The following services are proposed for addition to the Procurement List to be provided by the nonprofit agencies listed:

## Services

Service Type/Location: Custodial and Grounds Maintenance Services, Federal Building \& U.S. Courthouse, 100 N. Church St., Las Cruces, NM.
NPA: Tresco, Inc., Las Cruces, NM.
Contracting Activity: GSA Public Buildings Service, PBS OK/NM Section, Albuquerque, NM.
Service Type/Location: Facilities Management, Joint Base Fort Lewis, McChord Air Force Base (JBLM), WA.
NPA: Professional Contract Services, Inc., Austin, TX.
Contracting Activity: Department Of The Army, Mission And Installation Contracting Command, Ft. Lewis, WA.

## Barry S. Lineback,

Director, Business Operations.
[FR Doc. 2010-645 Filed 1-14-10; 8:45 am]
BILLING CODE 6353-01-P

## COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

## Procurement List; Corrections

agency: Committee for Purchase From People Who Are Blind or Severely Disabled.
ACTION: A correction is made to the Federal Register published by the Committee in proposing to add to and delete from the Procurement List a product and services on January 11, 2010 (75 FR 1354-1355). The correct date that comments should be received is February 11, 2010.
Action: A correction is made to the Federal Register published by the Committee in adding services to and deleting from the Procurement List products and services on January 11, 2010 (75 FR 1355-1356). The correct effective date should be February 11, 2010.

ADDRESSES: Committee for Purchase From People Who Are Blind or Severely Disabled, Jefferson Plaza 2, Suite 10800, 1421 Jefferson Davis Highway, Arlington, Virginia 22202-3259.

